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EXAMINER
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CHEN, TSE W

ART UNIT	PAPER NUMBER
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2116

DATE MAILED: 02/03/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/814,321

Applicant(s)

FEIERBACH, GARY F.

Examiner

Tse Chen

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 19 November 2004.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-26 and 28-39 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-26 and 28-39 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. It is hereby acknowledged that the following papers have been received and placed of record in the file: Amendment dated November 19, 2004.
2. Claims 1-26, 28-39 are presented for examination. Applicant has canceled claim 27.
3. All references were cited as prior art in previous Office Action.

### ***Claim Objections***

4. Claims 1, 9, 17, 26, and 37 are objected to because of the following informalities:  
“arranges in series” should be “arranged in series”. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 1-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bartley, U.S. Patent 6219796, in view of Fletcher et al., U.S. Patent 6611920, hereinafter Fletcher.

7. In re claim 1, Bartley discloses a method for operating a microprocessor [10] to reduce power consumption [abstract], the microprocessor including a functional unit [11] formed of a plurality of stages [functional units] [col.3, ll.1-30, ll.41-65], the method comprising:

- Evaluating instructions to be executed to determine the operation type of each of said instructions, the instructions to be executed depending on operation type by said plurality of stages of said functional unit [col.4, ll.54-57; col.5, ll.1-15, ll.33-44, ll.51-57].

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- Producing activity indicators [bit level machine code of either sleep or active instructions] based upon the operation types of said instructions [col.4, ll.54-57; col.5, ll.1-2, ll.33-44, ll.51-57].
- Following said steps of evaluating said instructions and producing said activity indicators, controlling the supply of current to each of said plurality of stages such that only selected stages of said plurality of stages will draw current from a power supply, the controlling being based upon activity indicators associated with each of said stages [col.6, ll.33-52; powering down is done after evaluating and producing steps].
- Advancing said instructions with the microprocessor [col.3, ll.16-30; operations advanced serially in stages].
- Executing said instructions that are within each of said selected stages [col.3, ll.52-61].

8. Bartley did not disclose explicitly that the stages are arranged in series.

9. Fletcher discloses a method for operating a microprocessor [integrated circuit] to reduce power consumption [abstract], the microprocessor including a functional unit [FUB logic 310] formed of a plurality of stages [logical stages 310.1-310.N], where said stages of said functional unit are arranged in series [pipeline], the method comprising:

- Following a step of producing activity indicators [valid signal], controlling the supply of current to each of said plurality of stages such that only selected stages of said plurality of stages will draw current from a power supply, the controlling being based upon activity indicators associated with each of said stages [col.4, ll.1-14, ll.27-30; clock and valid signal control current draw following the production of activity indicators; the activity indicators should have been sent right after the evaluation to arrive a half cycle in

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advance of the instruction so as to immediately control the supply of current to the stage for processing of instruction in a pipeline environment].

- Advancing the instructions within said microprocessor [col.3, ll.53-67; col.4, l.61 -- col.5, l.5].
- Executing said instructions that are within each of said selected stages [col.4, ll.27-37].

10. It would have been obvious to one of ordinary skill in the art, having the teachings of Bartley and Fletcher before him at the time the invention was made, to modify the microprocessor as taught by Bartley to include the functional unit as taught by Fletcher, in order to obtain the functional unit having the plurality of independently controlled stages arranged in series, as the pipeline series arrangement of stages is a very well known arrangement suitable for use with the microprocessor of Bartley. One of ordinary skill in the art would have been motivated to make such a combination as it increases processing efficiency [via pipeline arrangement] and provides a refined way to decrease power consumption in a processor as the processing power increases and potential overheating is a problem [Fletcher: col.1, ll.13-32].

11. As to claim 2, Bartley discloses the microprocessor that is a very long instruction word processor [col.3, ll.41-44].

12. As to claim 3, Bartley discloses the evaluating operates to determine whether each of said instructions is an operation instruction type or a no-operation instruction type [col.4, ll.54-57].

13. As to claim 4, Fletcher discloses the type of instructions executed in each of said selected stages is an operation instruction type [col.4, ll.27-60].

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14. As to claim 5, Bartley discloses the producing operates to produce a power-on activity indicator associated with operation instruction types, and a power-off activity indicator associated with no-operation instruction types [col.4, ll.56-57; col.5, ll.51-54; col.6, ll.8-11].

15. As to claim 6, Fletcher discloses the selected stages are associated with power-on activity indicators, and wherein the remaining stages are associated with power-off activity indicators [col.4, l.61 -- col.5, l.13].

16. As to claim 7, Fletcher discloses the controlling operation further comprises transmitting a clock signal only to the selected stages of the functional unit [col.4, ll.10-14].

17. As to claim 8, Bartley discloses the method further comprising repeating all of the steps for successive instructions [fig.7].

18. Claims 9-16 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bartley in view of Fletcher and Matter et al., U.S. Patent 5392437, hereinafter Matter.

19. In re claim 9, Bartley discloses a method for operating a microprocessor [10] to reduce power consumption [abstract], the microprocessor including a functional unit [11] formed of a plurality of stages [functional units] [col.3, ll.1-30, ll.41-65], the method comprising:

- Receiving instructions at an instruction evaluation unit [dispatch and decode units 11b and 11c] [inherently, instructions have to be received in order to be dispatched and decoded or evaluated].
- Evaluating instructions by said instruction evaluation unit to determine the operation type contained within said instructions, the instructions to be executed depending on operation type by said plurality of stages of said functional unit [col.4, ll.54-57; col.5, ll.1-15, ll.33-44, ll.51-57].

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- Controlling the supply of current to each of said plurality of stages such that only selected stages of said plurality of stages will draw current from a power supply, the controlling being based upon activity indicators associated with each of said stages [col.6, ll.33-52; powering down is done after evaluating and producing steps].
- Advancing said instructions with the microprocessor [col.3, ll.16-30; operations advanced serially in stages].
- Executing said instructions that are within each of said selected stages [col.3, ll.52-61].

20. Bartley did not discuss details relating to the stages or an instruction register.

21. In regards to the stages, Fletcher discloses a method for operating a microprocessor [integrated circuit] to reduce power consumption [abstract], the microprocessor including a functional unit [FUB logic 310] formed of a plurality of stages [logical stages 310.1-310.N], where said stages of said functional unit are arranged in series [pipeline], the method comprising:

- Receiving instructions at an instruction evaluation unit [scheduler] [col.3, ll.53-67].
- Transmitting a null-bit [deactivated valid signal] from said instruction evaluation unit to a memory device [latch 340.1] when said instructions contain a no-operation instruction [col.3, ll.53-67; col.4, ll.48-53; in the broadest interpretation, a no-operation instruction instructs the scheduler to disable power to the particular functional unit], and transmitting a 1-bit [Vcc active level valid signal] from said instruction evaluation unit to said memory device when said instructions contain an operation instruction [col.4, ll.27-32], each of said null-bit and 1-bit being associated with a particular stage of said functional unit [col.4, l.27 -- col.5, l.13].

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- Controlling the supply of current to each of said plurality of stages such that said stages of said functional unit associated with a 1-bit draw current and said stages of said functional unit associated with a null-bit do not draw current [col.4, ll.1-14; clock and enable valid signal controls current draw].
- Advancing said instructions within said microprocessor [col.4, l.61 -- col.5, l.5].
- Executing said instructions that are within each of said stages that is associated with a 1-bit [col.4, ll.27-37].

22. It would have been obvious to one of ordinary skill in the art, having the teachings of Bartley and Fletcher before him at the time the invention was made, to modify the microprocessor as taught by Bartley to include the functional unit as taught by Fletcher, in order to obtain the functional unit having the plurality of independently controlled stages arranged in series, as the pipeline series arrangement of stages is a very well known arrangement suitable for use with the microprocessor of Bartley. One of ordinary skill in the art would have been motivated to make such a combination as it increases processing efficiency [via pipeline arrangement] and provides a refined way to decrease power consumption in a processor as the processing power increases and potential overheating is a problem [Fletcher: col.1, ll.13-32].

23. In regards to an instruction register, Matter discloses a method for operating a microprocessor [100] to reduce power consumption [abstract], the method comprising:

- Receiving instructions at an instruction evaluation unit [decoder and microcode units 102 and 103] from an instruction register [cache 101], which temporarily stores a specific instruction before execution [col.5, ll.39-40].



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24. It would have been obvious to one of ordinary skill in the art, having the teachings of Matter and Bartley before him at the time the invention was made, to modify the microprocessor as taught by Matter to include the instruction register as taught by Fletcher, in order to obtain the microprocessor with an instruction register. One of ordinary skill in the art would have been motivated to make such a combination as it provides a well-known suitable way to store a specific instruction before execution.

25. As to claim 10, Bartley discloses the microprocessor that is a very long instruction word processor [col.3, ll.41-44].

26. As to claim 11, Fletcher disclosed the controlling operation further comprises: transmitting a clock signal to only said stages associated with a 1-bit, such that the stages associated with a null-bit do not receive a clock signal [col.4, ll.10-14].

27. As to claim 12, Fletcher discloses the method further comprising transmitting a clock signal to the memory device, the clock signal to each of said stages being transmitted after the signal to said memory device is transmitted [col.4, ll.6-47].

28. As to claim 13, Fletcher discloses the microprocessor contains a plurality of memory devices and a plurality of functional units, each of said functional units being connected to a respective one of said plurality of memory device [fig.1; col.2, ll.25-34].

29. As to claim 14, Bartley discloses the microprocessor is a very long instruction word processor, each instruction containing a plurality of sub-instructions, each of said sub-instructions assigned to one or more of the plurality of functional units [col.3, ll.41-44].

30. As to claim 15, Fletcher discloses the memory device is a shift register [col.4, ll.17-19].

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31. As to claim 16, Bartley discloses the method further comprising repeating the operations for each successive instruction [fig.7].

32. Claims 17-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matter in view of Bartley, Fletcher and Sproch et al., U.S. Patent 6247134, hereinafter Sproch.

33. In re claim 17, Matter discloses a microprocessor [100] that operates in a manner that conserves power [abstract], comprising:

- An instruction register [cache 101] for temporarily storing a next instruction to be executed [col.5, ll.39-40; prefetches next instruction to be executed].
- An instruction evaluation unit [decoder 102 and microcode unit 103] that is connected to said instruction register such that said instruction evaluation unit receives said next instruction from said instruction register, said instruction evaluation unit being configured to evaluate said next instruction [col.5, ll.36-49].
- A functional unit [integer and floating point execution units 104 and 105] for executing instructions [col.5, ll.46-53].

34. Matter did not discuss details of the instruction evaluation unit or the functional unit.

35. In regards to the instruction evaluation unit, Bartley discloses a microprocessor [10] that operates in a manner that conserves power [abstract], the microprocessor comprising:

- An instruction evaluation unit [dispatch and decode units 11b and 11c] that evaluates a next instruction to be executed and which produces activity indicators [bit level machine code of either sleep or active instructions] [col.4, ll.54-57; col.5, ll.1-2, ll.33-44, ll.51-57].

36. It would have been obvious to one of ordinary skill in the art, having the teachings of Bartley and Matter before him at the time the invention was made, to modify the microprocessor

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as taught by Matter to include the instruction evaluation unit as taught by Bartley, in order to obtain the microprocessor with the instruction evaluation unit for producing activity indicators.

One of ordinary skill in the art would have been motivated to make such a combination as it provides a refined way to decrease power consumption in a processor [Bartley: col.2, ll.14-19].

37. In regards to the functional unit, Fletcher discloses a microprocessor [integrated circuit] that operates in a manner that conserves power [abstract], the microprocessor comprising:

- A functional unit [FUB logic 310] for executing instructions [col.3, ll.58-67], said functional unit having a plurality of stages [logical stages 310.1-310.N], each of said stages capable of being separately activated or deactivated based upon a respective activity indicator [enable or valid signal], where said stages of said functional unit are arranged in series [pipeline] [col.4, ll.2-5, ll.12-14].
- A stage activation controller [propagation circuit 340] that utilizes said activity indicators and causes each of said stages of said functional unit to be individually activated or deactivated [col.4, ll.27-53].

38. It would have been obvious to one of ordinary skill in the art, having the teachings of Matter and Fletcher before him at the time the invention was made, to modify the microprocessor as taught by Matter to include the functional unit as taught by Fletcher, in order to obtain the functional unit having the plurality of independently controlled stages. One of ordinary skill in the art would have been motivated to make such a combination as it provides a refined way to decrease power consumption in a processor as the processing power increases and potential overheating is a problem [Fletcher: col.1, ll.13-32].

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39. Lastly, Fletcher discloses an instruction evaluation unit [scheduler] configured to evaluate the next instruction [col.3, ll.53-67], but did not disclose explicitly that the stage activation controller is connected to it.

40. Sproch discloses a microprocessor [circuit 200a] that operates in a manner that conserves power [abstract], the microprocessor comprising:

- A stage activation controller [propagation circuit 230] that is connected to the instruction evaluation unit [determination circuit 210] [fig.3].

41. It would have been obvious to one with ordinary skill in the art to utilize a configuration with the stage activation controller connected to the instruction evaluation unit as taught by Sproch because Applicant has not disclosed an advantage, a particular purpose, or solution to a stated problem for using said configuration. One of ordinary skill in the art, furthermore, would have expected Applicant's invention to perform equally well with other less obvious configurations that would not affect the timing of the instruction and associated activity indicator processing because the Applicant's invention is intended to control the activation and deactivation of the plurality of functional stages separately, irrelevant of the explicit detailed connections of units that should obviously have been connected together because of their related task and order of executing that task.

42. As to claim 18, Bartley discloses the microprocessor that is a very long instruction word processor [col.3, ll.41-44].

43. As to claim 19, Fletcher discloses the stages have separate inputs for receiving current, the inputs capable of being separately opened or closed, the activated stages having opened inputs and the deactivated inputs having closed inputs [col.4, ll.27-60].

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44. As to claim 20, Fletcher discloses the stage activation controller is a memory unit that stores said activity indicators [col.4, ll.17-19].

45. As to claim 21, Fletcher discloses the memory unit is a register having a bit size equal to the number of stages in said functional unit, each bit location storing a respective activity indicator which indicates whether to activate or deactivate a respective stage [fig.3; col.4, ll.17-19].

46. As to claim 22, Fletcher discloses the microprocessor further comprising a plurality of functional units, each of said functional units having a plurality of stages, each of said stages capable of being separately activated or deactivated based upon a respective activity indicator [fig.1; col.2, ll.25-34].

47. As to claim 23, Fletcher discloses the microprocessor further comprising a plurality of stage activation controllers, each of said stage activation controllers using said activity indicators to individually activate or deactivate each of said stages of a respective one of the plurality of functional units [col.4, ll.27-60].

48. As to claim 24, Fletcher discloses the microprocessor further comprising a plurality of instruction evaluation units, each of said instruction evaluation units associated with a respective one of said stage activation controllers [col.2, ll.25-34; col.3, ll.53-67].

49. As to claim 25, Fletcher discloses the microprocessor further comprising a clock circuit, said clock circuit supplying a stage activation controller clock pulse to said stage activation controller and a functional unit clock pulse to said functional unit, said functional unit clock pulse being time-delayed with respect to said stage activation controller clock pulse [col.4, ll.6-47].

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50. Claims 26 and 28-36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Bartley in view of Fletcher and Sproch.

51. In re claim 26, Bartley discloses a microprocessor [10] that operates in a manner that conserves power [abstract], the microprocessor comprising:

- An instruction evaluation unit [dispatch and decode units 11b and 11c] that evaluates a next instruction to be executed and which produces activity indicators [bit level machine code of either sleep or active instructions] [col.4, ll.54-57; col.5, ll.1-2, ll.33-44, ll.51-57].
- A functional unit [L, S, M, or D] for executing instructions [col.3, ll.1-15, ll.55-61].

52. Bartley did not discuss details relating to the functional unit.

53. Fletcher discloses a microprocessor [integrated circuit] that operates in a manner that conserves power [abstract], the microprocessor comprising:

- A functional unit [FUB logic 310] for executing instructions [col.3, ll.58-67], said functional unit having a plurality of stages [logical stages 310.1-310.N], each of said stages capable of being separately activated or deactivated based upon a respective activity indicator [enable or valid signal], where said stages of said functional unit are arranged in series [pipeline] [col.4, ll.2-5, ll.12-14].
- A stage activation controller [propagation circuit 340] that utilizes said activity indicators and causes each of said stages of said functional unit to be individually activated or deactivated [col.4, ll.27-53].
- A clock circuit [primary clock; fig.3] for supplying clock pulses to each stage of said functional unit and to said stage activation controller [col.4, ll.7-14, ll.19-23].

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54. It would have been obvious to one of ordinary skill in the art, having the teachings of Bartley and Fletcher before him at the time the invention was made, to modify the microprocessor as taught by Bartley to include the functional unit as taught by Fletcher, in order to obtain the functional unit having the plurality of independently controlled stages. One of ordinary skill in the art would have been motivated to make such a combination as it provides a refined way to decrease power consumption in a processor as the processing power increases and potential overheating is a problem [Fletcher: col.1, ll.13-32].

55. Furthermore, Fletcher discloses a logic gate [330.1] having an input from said stage activation controller, an input from said clock circuit and an output to one of said stages of said functional unit, whereby said logic gate controls the supply of said clock pulses to said respective stage [fig.3; col.4, ll.6-14].

56. Fletcher discloses the logic gate as a NAND gate because the circuit was configured to operate as active low enabled [col.4, ll.19-21].

57. Sproch discloses a microprocessor [circuit 200a] that operates in a manner that conserves power [abstract], the microprocessor comprising:

- An AND gate [351] having an input [371] from the stage activation controller [propagation circuit 230a], an input [240] from the clock circuit [clk; fig.5] and an output [251] to one of the stages [register 221 or stage 231], whereby said AND gate controls the supply of the clock pulses to the respective stage [col.11, ll.7-49].

58. It would have been obvious to one with ordinary skill in the art to utilize a configuration with AND gates as taught by Sproch instead of NAND gates because Applicant has not disclosed an advantage, a particular purpose, or solution to a stated problem for using AND gates. One of

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ordinary skill in the art, furthermore, would have expected Applicant's invention to perform equally well with configurations of other logic gates because the Applicant's invention is intended to control the activation and deactivation of the plurality of functional stages separately according to the processing of instructions, irrelevant of the logic gates that can render the same intended results.

59. As to claim 28, Bartley discloses the microprocessor that is a very long instruction word processor [col.3, ll.41-44].

60. As to claim 29, Fletcher discloses the clock circuit has a delay circuit that causes the pulse supplied to said functional stages to be transmitted at a time slightly after the transmission of the pulse to said stage activation controller [col.4, ll.6-47].

61. As to claim 30, Fletcher discloses each of said stages have separate inputs for receiving a clock signal, the inputs capable of being separately opened or closed, the activated stages having opened inputs and the deactivated inputs having closed inputs [col.4, ll.10-14].

62. As to claim 31, Fletcher discloses the stage activation controller is a memory unit that stores said activity indicators [col.4, ll.17-19].

63. As to claim 32, Fletcher discloses the memory unit is a register having a bit size equal to the number of stages in said functional unit, each bit location storing a respective activity indicator which indicates whether to activate or deactivate a respective stage [fig.3; col.4, ll.17-19].

64. As to claim 33, Fletcher discloses the microprocessor further comprising a plurality of functional units, each of said functional units having a plurality of stages, each of said stages



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capable of being separately activated or deactivated based upon a respective activity indicator [fig.1; col.2, ll.25-34].

65. As to claim 34, Fletcher discloses the microprocessor further comprising a plurality of stage activation controllers, each of said stage activation controllers using said activity indicators to individually activate or deactivate each of said stages of a respective one of the plurality of functional units [col.4, ll.27-60].

66. As to claim 35, Bartley discloses the microprocessor that is a very long instruction word processor [col.3, ll.41-44].

67. As to claim 36, Fletcher discloses the microprocessor further comprising a plurality of instruction evaluation units, each of said instruction evaluation units associated with a respective one of said stage activation controllers [col.2, ll.25-34; col.3, ll.53-67].

68. Claims 37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Matter in view of Bartley, Fletcher and Sproch.

69. In re claim 37, Matter discloses a computer system that operates in a manner that reduces power consumption [abstract], comprising:

- A microprocessor [100] wherein the microprocessor includes:
- An instruction register [cache 101] for temporarily storing a next instruction to be executed [col.5, ll.39-40; prefetches next instruction to be executed].
- An instruction evaluation unit [decoder 102 and microcode unit 103] that is connected to said instruction register such that said instruction evaluation unit receives said next instruction from said instruction register, said instruction evaluation unit being configured to evaluate said next instruction [col.5, ll.36-49].

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- A functional unit [integer and floating point execution units 104 and 105] for executing instructions [col.5, ll.46-53].
- A main memory [14] for storing data, including instructions executable on microprocessor [col.5, ll.4-7].
- At least one I/O device [signal generation device 25] [col.5, ll.26-28].
- At least one bus [11] supporting transfer of data between components of the computer system [col.4, l.67 -- col.5, l.28].

70. Matter did not discuss details of the instruction evaluation unit or the functional unit.

71. In regards to the instruction evaluation unit, Bartley discloses a microprocessor [10] that operates in a manner that conserves power [abstract], the microprocessor comprising:

- An instruction evaluation unit [dispatch and decode units 11b and 11c] that evaluates a next instruction to be executed and which produces activity indicators [bit level machine code of either sleep or active instructions] [col.4, ll.54-57; col.5, ll.1-2, ll.33-44, ll.51-57].

72. It would have been obvious to one of ordinary skill in the art, having the teachings of Bartley and Matter before him at the time the invention was made, to modify the microprocessor as taught by Matter to include the instruction evaluation unit as taught by Bartley, in order to obtain the microprocessor with the instruction evaluation unit for producing activity indicators.

One of ordinary skill in the art would have been motivated to make such a combination as it provides a refined way to decrease power consumption in a processor [Bartley: col.2, ll.14-19].

73. In regards to the functional unit, Fletcher discloses a microprocessor [integrated circuit] that operates in a manner that conserves power [abstract], the microprocessor comprising:

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- A functional unit [FUB logic 310] for executing instructions [col.3, ll.58-67], said functional unit having a plurality of stages [logical stages 310.1-310.N], each of said stages capable of being separately activated or deactivated based upon a respective activity indicator [enable or valid signal], where said stages of said functional unit are arranged in series [pipeline] [col.4, ll.2-5, ll.12-14].
- A stage activation controller [propagation circuit 340] that utilizes said activity indicators and causes each of said stages of said functional unit to be individually activated or deactivated [col.4, ll.27-53].

74. It would have been obvious to one of ordinary skill in the art, having the teachings of Matter and Fletcher before him at the time the invention was made, to modify the microprocessor as taught by Matter to include the functional unit as taught by Fletcher, in order to obtain the functional unit having the plurality of independently controlled stages. One of ordinary skill in the art would have been motivated to make such a combination as it provides a refined way to decrease power consumption in a processor as the processing power increases and potential overheating is a problem [Fletcher: col.1, ll.13-32].

75. Lastly, Fletcher discloses an instruction evaluation unit [scheduler] configured to evaluate the next instruction [col.3, ll.53-67], but did not disclose explicitly that the stage activation controller is connected to it.

76. Sproch discloses a microprocessor [circuit 200a] that operates in a manner that conserves power [abstract], the microprocessor comprising:

- A stage activation controller [propagation circuit 230] that is connected to the instruction evaluation unit [determination circuit 210] [fig.3].

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77. It would have been obvious to one with ordinary skill in the art to utilize a configuration with the stage activation controller connected to the instruction evaluation unit as taught by Sproch because Applicant has not disclosed an advantage, a particular purpose, or solution to a stated problem for using said configuration. One of ordinary skill in the art, furthermore, would have expected Applicant's invention to perform equally well with other less obvious configurations that would not affect the timing of the instruction and associated activity indicator processing because the Applicant's invention is intended to control the activation and deactivation of the plurality of functional stages separately, irrelevant of the explicit detailed connections of units that should obviously have been connected together because of their related task and order of executing that task.

78. As to claim 38, Bartley discloses the microprocessor that is a very long instruction word processor [col.3, ll.41-44].

79. Claim 39 is rejected under 35 U.S.C. 103(a) as being unpatentable over Bartley and Fletcher as applied to claim 1 above, and further in view of Simonvich et al., US Patent 6308241, hereinafter Simonvich.

80. In re claim 39, Fletcher discloses the evaluation and producing steps are performed by an instruction evaluation unit [scheduler; col.3, ll.53-67]. Fletcher and Bartley did not discuss the details of an instruction register.

81. Simonvich discloses a method comprising:

- Receiving instructions at an instruction evaluation unit [instruction cache control 118] from an instruction register [cache 114; fig.1; col.3, ll.19-23].

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- Receiving instructions at a functional unit [multiplexer 128 and execution 112] from the instruction register [fig.1].

82. It would have been obvious to one with ordinary skill in the art, having the teachings of Simonvich and Fletcher before him at the time the invention was made, to modify the microprocessor as taught by Fletcher to include the configuration with the instruction evaluation and functional units receiving instructions from the instruction register as taught by Simonvich, in order to obtain more efficient processing [Simonvich: col.2, ll.24-47]. One of ordinary skill in the art would have been motivated to make such a combination as it provides a way to reduce power consumption [reduced execution cycle saves power].

### ***Response to Arguments***

83. Applicant's replacement of claim 37 with respect to the objection of the previous Office Action have been fully considered. The objection to claim 37 has been withdrawn.

84. Applicant's arguments have been considered but are moot in view of the new ground(s) of rejection as necessitated by amendment. However, Examiner will extend the courtesy of addressing some points that Applicant has completely erred in and should avoid in future prosecution of the instant Application.

85. Applicant alleges that Fletcher "fails to teach or suggest the controlling of the supply of current to each of a plurality of stages of a functional unit *immediately after* evaluating instructions and producing activity indicators". Examiner believes the related argument is moot because Applicant removed the limitation "immediately after" in the instant Amendment. More importantly, Applicant failed to show where this particular limitation is disclosed in Applicant's disclosure.

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86. Applicant alleges that “there is no adequate motivation of record that would lead one of ordinary skill in the to combine Bartley with wither Fletcher or Lin” because “Bartley pertains to program optimization ... neither Fletcher nor Lin pertains to program optimization.” This point is not well taken. Examiner notes that Applicant conveniently omitted Barley’s purpose of program optimization as declared in the abstract: “a method of optimizing a computer program for reduced power consumption.” Bartley, Fletcher and Lin all pertain to reduced power consumption.

87. Applicant alleges that “Bartley, Fletcher, and Matter alone or in any combination, do not teach or suggest the features of claim 39”. Examiner kindly reminds Applicant that claim 39 was rejected “as being unpatentable over Bartley, Fletcher and Lin as applied to claim 1 above, and further in view of Simonvich”, not Matter, in the previous Office Action.

88. Generally, in response to applicant's arguments against the references individually with respect to claims 1, 9, 17, 26, 37, and 39 one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

### ***Conclusion***


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tse Chen whose telephone number is (571) 272-3672. The examiner can normally be reached on Monday - Friday 9AM - 5PM.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Lynne Browne can be reached on (571) 272-3670. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Tse Chen  
January 20, 2005

  
**LYNNE H. BROWNE**  
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